

# CSS322 – RC4 Example

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CSS322Y10S2H03, Steve/Courses/CSS322/Examples/rc4-example.tex, r1576

## 1 Introduction

Lets consider the stream cipher RC4, but instead of the full 256 bytes, we will use  $8 \times 3$ -bits. That is, the state vector  $\mathbf{S}$  is  $8 \times 3$ -bits. We will operate on 3-bits of plaintext at a time since  $\mathbf{S}$  can take the values 0 to 7, which can be represented as 3 bits.

## 2 Example 1

Assume we use a  $4 \times 3$ -bit key,  $\mathbf{K}$ , and plaintext  $\mathbf{P}$  as below:

```
K = [1 2 3 6]
P = [1 2 2 2]
```

The first step is to generate the stream.

Initialise the state vector  $\mathbf{S}$  and temporary vector  $\mathbf{T}$ .  $\mathbf{S}$  is initialised so the  $\mathbf{S}[i] = i$ , and  $\mathbf{T}$  is initialised so it is the key  $\mathbf{K}$  (repeated as necessary).

```
S = [0 1 2 3 4 5 6 7]
T = [1 2 3 6 1 2 3 6]
```

Now perform the initial permutation on  $\mathbf{S}$ .

```
j = 0;
for i = 0 to 7 do
    j = (j + S[i] + T[i]) mod 8
    Swap(S[i],S[j]);
end
```

We will step through for each iteration of  $i$ :

```
For i = 0:
j = (0 + 0 + 1) mod 8
  = 1
Swap(S[0],S[1]);
```

So in the 1st iteration  $\mathbf{S}[0]$  must be swapped with  $\mathbf{S}[1]$  giving:

```
S = [1 0 2 3 4 5 6 7]
```

The results of the remaining 7 iterations are:

```
For i = 1:
j = 3
Swap(S[1],S[3])
S = [1 3 2 0 4 5 6 7];
```

```
For i = 2:
j = 0
Swap(S[2],S[0]);
S = [2 3 1 0 4 5 6 7];
```

```

For i = 3:
j = 6;
Swap(S[3],S[6])
S = [2 3 1 6 4 5 0 7];

For i = 4:
j = 3
Swap(S[4],S[3])
S = [2 3 1 4 6 5 0 7];

For i = 5:
j = 2
Swap(S[5],S[2]);
S = [2 3 5 4 6 1 0 7];

For i = 6:
j = 5;
Swap(S[6],S[5])
S = [2 3 5 4 6 0 1 7];

For i = 7:
j = 2;
Swap(S[7],S[2])
S = [2 3 7 4 6 0 1 5];

```

Hence, our initial permutation of **S** gives:

$S = [2\ 3\ 7\ 4\ 6\ 0\ 1\ 5]$ ;

Now we generate 3-bits at a time,  $k$ , that we XOR with each 3-bits of plaintext to produce the ciphertext. The 3-bits  $k$  is generated by:

```

i, j = 0;
while (true) {
    i = (i + 1) mod 8;
    j = (j + S[i]) mod 8;
    Swap (S[i], S[j]);
    t = (S[i] + S[j]) mod 8;
    k = S[t];
}

```

The first iteration:

```

S = [2 3 7 4 6 0 1 5]
i = (0 + 1) mod 8 = 1
j = (0 + S[1]) mod 8 = 3
Swap(S[1],S[3])
S = [2 4 7 3 6 0 1 5]
t = (S[1] + S[3]) mod 8 = 7
k = S[7] = 5

```

Remember, that **P** is:

$P = [1\ 2\ 2\ 2]$

So our first 3-bits of ciphertext is obtained by:  $k \text{ XOR } P_1$

$5 \text{ XOR } 1 = 101 \text{ XOR } 001 = 100 = 4$

The second iteration:

$S = [2\ 4\ 7\ 3\ 6\ 0\ 1\ 5]$   
 $i = (1 + 1) \bmod 8 = 2$   
 $j = (3 + S[2]) \bmod 8 = 2$   
 Swap( $S[2], S[2]$ )  
 $S = [2\ 4\ 7\ 3\ 6\ 0\ 1\ 5]$   
 $t = (S[2] + S[2]) \bmod 8 = 6$   
 $k = S[6] = 6$

Second 3-bits of ciphertext are:

$6 \text{ XOR } 2 = 110 \text{ XOR } 010 = 100 = 4$

The third iteration:

$S = [2\ 4\ 7\ 3\ 6\ 0\ 1\ 5]$   
 $i = (2 + 1) \bmod 8 = 3$   
 $j = (2 + S[3]) \bmod 8 = 5$   
 Swap( $S[3], S[5]$ )  
 $S = [2\ 4\ 7\ 0\ 6\ 3\ 1\ 5]$   
 $t = (S[3] + S[5]) \bmod 8 = 3$   
 $k = S[3] = 0$

Third 3-bits of ciphertext are:

$0 \text{ XOR } 2 = 000 \text{ XOR } 010 = 010 = 2$

The final iteration:

$S = [2\ 4\ 7\ 0\ 6\ 3\ 1\ 5]$   
 $i = (1 + 3) \bmod 8 = 4$   
 $j = (5 + S[4]) \bmod 8 = 3$   
 Swap( $S[4], S[3]$ )  
 $S = [2\ 4\ 7\ 6\ 0\ 3\ 1\ 5]$   
 $t = (S[4] + S[3]) \bmod 8 = 6$   
 $k = S[6] = 1$

Last 3-bits of ciphertext are:

$1 \text{ XOR } 2 = 001 \text{ XOR } 010 = 011 = 3$

So to encrypt the plaintext stream  $\mathbf{P}$  with key  $\mathbf{K}$  with our simplified RC4 stream we get  $\mathbf{C}$ :

$P = [1\ 2\ 2\ 2]$   
 $K = [1\ 2\ 3\ 6]$   
 $C = [4\ 4\ 2\ 3]$

Or in binary:

$P = 001010010010$   
 $K = 001010011110$   
 $C = 100100010011$